Quick Export Control Overview

- Two primary sets of export control regulations
  - Export Administration Regulations (EAR)
    - Regulates export of commercial items with potential military applications ("dual-use" items)
    - Administered by Department of Commerce, Bureau of Industry and Security (BIS) [http://www.bis.doc.gov/](http://www.bis.doc.gov/)
  - International Traffic in Arms Regulations (ITAR)
    - Regulates export of items and services designed specifically for military applications
    - Administered by Department of State, Directorate of Defense Trade Controls (DDTC) [https://www.pmddtc.state.gov/](https://www.pmddtc.state.gov/)

- Additional restrictions due to economic sanctions
  - A result of foreign policy
  - Specific to certain countries, entities and individuals
  - Administered by Department of Treasury, Office of Foreign Asset Control (OFAC)
  - [https://www.treasury.gov/about/organizational-structure/offices/Pages/Office-of-Foreign-Assets-Control.aspx](https://www.treasury.gov/about/organizational-structure/offices/Pages/Office-of-Foreign-Assets-Control.aspx)

- Regulations cover almost all areas of science and engineering
  - Can apply to objects, information, and in some cases, services
  - Items can be considered exported without leaving the United States ("deemed exports")
  - Prohibitions against unlicensed exports of certain items or information
  - Exclusions exist for fundamental research
  - Criminal and/or civil penalties can be applied to individuals and/or institutions

- **UNM Office of Research Compliance: Export Control**
  [http://researchcompliance.unm.edu/exportcontrol](http://researchcompliance.unm.edu/exportcontrol)
  - Can help determine if licenses are required and when exclusions apply
  - Can help obtain necessary licenses
  - Has access to many export control resources
UNM Office of Research Compliance: Export Control

http://researchcompliance.unm.edu/exportcontrol

Export Control Compliance Officer

Address
1805 Sigma Chi NE
MSC05: 1665
1 University of New Mexico
Albuquerque, NM 87131

Contact
email: export@unm.edu
phone: 505/277-2968

Other Resources:

“Getting Started with Defense Trade”
https://www.pmddtc.state.gov/documents/ddtc_getting_started.pdf

https://www.export.gov/article?id=Export-Education

http://www.bis.doc.gov/index.php/compliance-a-training/export-administration-regulations-training/online-training-room

https://www.pmddtc.state.gov/index.html

https://www.treasury.gov/about/organizational-structure/offices/Pages/Office-of-Foreign-Assets-Control.aspx