Quick Export Control Overview

- Two primary sets of export control regulations
  o Export Administration Regulations (EAR)
    ▪ Regulates export of commercial items with potential military applications ("dual-use" items)
    ▪ Administered by Department of Commerce, Bureau of Industry and Security (BIS) [http://www.bis.doc.gov/](http://www.bis.doc.gov/)
  o International Traffic in Arms Regulations (ITAR)
    ▪ Regulates export of items and services designed specifically for military applications
    ▪ Administered by Department of State, Directorate of Defense Trade Controls (DDTC) [https://www.pmddtc.state.gov/](https://www.pmddtc.state.gov/)

- Additional restrictions due to economic sanctions
  o A result of foreign policy
  o Specific to certain countries, entities and individuals
  o Administered by Department of Treasury, Office of Foreign Asset Control (OFAC)
  o [https://www.treasury.gov/about/organizational-structure/offices/Pages/Office-of-Foreign-Assets-Control.aspx](https://www.treasury.gov/about/organizational-structure/offices/Pages/Office-of-Foreign-Assets-Control.aspx)

- Regulations cover almost all areas of science and engineering
  o Can apply to objects, information, and in some cases, services
  o Items can be considered exported without leaving the United States ("deemed exports")
  o Prohibitions against unlicensed exports of certain items or information
  o Exclusions exist for fundamental research
  o Criminal and/or civil penalties can be applied to individuals and/or institutions

- **UNM Office of Research Compliance: Export Control**
  [http://researchcompliance.unm.edu/exportcontrol](http://researchcompliance.unm.edu/exportcontrol)
  o Can help determine if licenses are required and when exclusions apply
  o Can help obtain necessary licenses
  o Has access to many export control resources
UNM Office of Research Compliance: Export Control

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Other Resources:

“Getting Started with Defense Trade”
https://www.pmddtc.state.gov/documents/ddtc_getting_started.pdf

https://www.export.gov/article?id=Export-Education

http://www.bis.doc.gov/index.php/compliance-a-training/export-administration-regulations-training/online-training-room

https://www.pmddtc.state.gov/index.html

https://www.treasury.gov/about/organizational-structure/offices/Pages/Office-of-Foreign-Assets-Control.aspx