

Quick Export Control Overview

- Two primary sets of export control regulations
 - o Export Administration Regulations (EAR)
 - Regulates export of commercial items with potential military applications (“dual-use” items)
 - Administered by Department of Commerce, Bureau of Industry and Security (BIS) <http://www.bis.doc.gov/>
 - o International Traffic in Arms Regulations (ITAR)
 - Regulates export of items and services designed specifically for military applications
 - Administered by Department of State, Directorate of Defense Trade Controls (DDTC) <https://www.pmdtcc.state.gov/>
- Additional restrictions due to economic sanctions
 - o A result of foreign policy
 - o Specific to certain countries, entities and individuals
 - o Administered by Department of Treasury, Office of Foreign Asset Control (OFAC)
 - o <https://www.treasury.gov/about/organizational-structure/offices/Pages/Office-of-Foreign-Assets-Control.aspx>
- Regulations cover almost all areas of science and engineering
 - o Can apply to objects, information, and in some cases, services
 - o Items can be considered exported without leaving the United States (“deemed exports”)
 - o Prohibitions against unlicensed exports of certain items or information
 - o Exclusions exist for fundamental research
 - o Criminal and/or civil penalties can be applied to individuals and/or institutions
- **UNM Office of Research Compliance: Export Control**
<http://researchcompliance.unm.edu/exportcontrol>
 - o Can help determine if licenses are required and when exclusions apply
 - o Can help obtain necessary licenses
 - o Has access to many export control resources

UNM Office of Research Compliance: Export Control

<http://researchcompliance.unm.edu/exportcontrol>

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Other Resources:

“Getting Started with Defense Trade”

https://www.pmdtc.state.gov/documents/ddtc_getting_started.pdf

<https://www.export.gov/article?id=Export-Education>

<http://www.bis.doc.gov/index.php/compliance-a-training/export-administration-regulations-training/online-training-room>

<https://www.pmdtc.state.gov/index.html>

<https://www.treasury.gov/about/organizational-structure/offices/Pages/Office-of-Foreign-Assets-Control.aspx>